Tuesday, March 6, 2018

9:00 AM   *Registration & Breakfast*

9:30 AM   *The New Compliance Line of Business and Actimize Strategy*
- The Compliance business organization, product suite and synergies
- The Actimize Autonomous Financial Crime Management platform

10:15 AM   *Sales Practices & Suitability Update – SP4*
- Using open analytics to respond quickly to new regulatory & business mandates
- Broker Risk Dashboard: Identifying risky brokers and the threat they pose
- Case Study: Supporting multiple lines of business on a single platform
- Demo

11:15 AM   *Break*

11:30 AM   *Roundtable: Is a risk-based approach to transaction review right for Canada?*

12:00 PM   *Responding to Global Regulatory Trends: Communication Surveillance & Trade Reconstruction*

- Communication Surveillance Overview
  - Identifying suspicious communication across all devices and channels
  - Closing the Voice Gap
  - Combining Voice and e-comms
  - Product overview
    - Indexing, speech analytics, ASR, text analytics & NLP

- Trade Reconstruction Overview
  - Regulatory requirements: FX Global Code, MiFiD II, Dodd-Frank
  - Why Trade Reconstruction should be a standard part of your investigative tool box
  - Putting the Pieces Together: Product overview of Trade Reconstruction
  - Product Demo

12:45 PM   *Lunch & Networking*

1:45 PM   *Responding to Global Regulatory Trends: Holistic Surveillance*
- Why Holistic Surveillance is Essential
  - Detect intent
  - Uncover conduct related threats
  - Reconstruct trades

- Putting the Pieces Together: The Actimize Holistic Product Suite
  - Markets Surveillance Enterprise
  - Communication Surveillance
  - Trade Reconstruction
  - Holistic Behavioral Analytics

- Holistic Behavioral Analytics Overview
    - o Ability to create profiles
    - o Machine learning (Supervised & Unsupervised)
    - o Heat map
    - o Correlation of behavioral data with alerts
    - o Case Study

2:30 PM *Product Preview: ERCM and Automation*
- ERCM Product Updates
- Driving Efficiencies with Dashboarding (AVA) and Automation (RPA)
- Product Demo

3:30 PM *Break*

3:45 PM *Product Roadmap: Compliance LOB*
- Market Surveillance Enterprise
- Market Surveillance Cloud
- Sales Practices and Suitability
- Communication Surveillance

4:45 PM *Cocktails & Networking*

NOTE: Topics and times are subject to change